

This brochure supplement provides information about Steven Joseph Dawson that supplements the First Choice Financial Planning LLC brochure. You should have received a copy of that brochure. Please contact Steven Joseph Dawson if you did not receive First Choice Financial Planning LLC's brochure or if you have any questions about the contents of this supplement.

Additional information about Steven Joseph Dawson is also available on the SEC's website at [www.advisorinfo.sec.gov](http://www.advisorinfo.sec.gov).

**First Choice Financial Planning LLC Form  
ADV Part 2B – Individual Disclosure Brochure  
for**

**Steven Joseph Dawson**  
Personal CRD Number: 5703352  
Investment Advisor Representative

First Choice Financial Planning LLC  
333 East Bethany Drive Suite I-120  
Allen, TX 75002  
(469) 795-9231

[info@firstchoicefinancialplanning.com](mailto:info@firstchoicefinancialplanning.com)

UPDATED: 06/24/2022

## Item 2: Educational Background and Business Experience

Name: Steven Joseph Dawson Born: 1984

### Educational Background and Professional Designations:

#### Education:

Master of Science Managerial Economics, Texas A&M - Commerce - 2008

#### Designations:

##### CFP® - Certified Financial Planner

The CERTIFIED FINANCIAL PLANNER™, CFP® and federally registered CFP (with flame design) marks (collectively, the “CFP® marks”) are professional certification marks granted in the United States by Certified Financial Planner Board of Standards, Inc. (“CFP Board”).

The CFP® certification is a voluntary certification; no federal or state law or regulation requires financial planners to hold CFP® certification. It is recognized in the United States and a number of other countries for its (1) high standard of professional education; (2) stringent code of conduct and standards of practice; and (3) ethical requirements that govern professional engagements with clients.

To attain the right to use the CFP® marks, an individual must satisfactorily fulfill the following requirements:

- Education – Complete an advanced college-level course of study addressing the financial planning subject areas that CFP Board’s studies have determined as necessary for the competent and professional delivery of financial planning services, and attain a Bachelor’s Degree from a regionally accredited United States college or university (or its equivalent from a foreign university). CFP Board’s financial planning subject areas include insurance planning and risk management, employee benefits planning, investment planning, income tax planning, retirement planning, and estate planning;
- Examination – Pass the comprehensive CFP® Certification Examination. The examination includes case studies and client scenarios designed to test one’s ability to correctly diagnose financial planning issues and apply one’s knowledge of financial planning to real world circumstances;
- Experience – Complete at least three years of full-time financial planning-related experience (or the equivalent, measured as 2,000 hours per year); and
- Ethics – Agree to be bound by CFP Board’s Standards of Professional Conduct, a set of documents outlining the ethical and practice standards for CFP® professionals.

Individuals who become certified must complete the following ongoing education and ethics requirements in order to maintain the right to continue to use the CFP® marks:

- i. Continuing Education – Complete 30 hours of continuing education hours every two years, including two hours on the Code of Ethics and other parts of the Standards of Professional Conduct, to maintain competence and keep up with developments in the financial planning field; and
- ii. Ethics – Renew an agreement to be bound by the Standards of Professional Conduct. The Standards prominently require that CFP® professionals provide financial planning services at a fiduciary standard of care. This means CFP® professionals must provide financial planning services in the best interests of their clients.

CFP® professionals who fail to comply with the above standards and requirements may be subject to CFP Board’s enforcement process, which could result in suspension or permanent revocation of their CFP® certification.

### Business Background:

03/2020 - Present	Managing Member First Choice Financial Planning LLC
06/2017 - Present	Investment Advisor Representative Raymond James Financial Services Advisors (RJFSA)
02/2014 - 06/2017	Financial Advisor Ameriprise Financial Services
02/2010 - 02/2014	Financial Advisor Edward Jones

### Item 3: Disciplinary Information

There are no legal or disciplinary events that are material to a client’s or prospective client’s evaluation of this advisory business.

### Item 4: Other Business Activities

Steven Joseph Dawson is an investment advisor representative with another advisory firm, Raymond James Financial Services Advisors and from time to time, will offer clients advice or products from those activities and clients should be aware that these services pay a commission or other compensation and involve a conflict of interest, as commissionable products conflict with the fiduciary duties of a registered investment advisor. FCFP always acts in the best interest of the client, including with respect to the sale of

commissionable products to advisory clients. Clients are in no way required to implement the plan through any representative of FCFP in such individual's capacity as a registered representative.

Steven Joseph Dawson is an independent licensed insurance agent, and from time to time, will offer clients advice or products from those activities. Clients should be aware that these services pay a commission or other compensation and involve a conflict of interest, as commissionable products conflict with the fiduciary duties of a registered investment advisor. FCFP always acts in the best interest of the client; including the sale of commissionable products to advisory clients. Clients are in no way required to utilize the services of any representative of FCFP in connection with such individual's activities outside of FCFP.

### Item 5: Additional Compensation

Steven Joseph Dawson does not receive any economic benefit from any person, company, or organization, other than First Choice Financial Planning LLC in exchange for providing clients advisory services through First Choice Financial Planning LLC.

### Item 6: Supervision

As a representative of First Choice Financial Planning LLC, Steven Joseph Dawson is supervised by Denise L Webre, the firm's Chief Compliance Officer. Denise L Webre is responsible for ensuring that Steven Joseph Dawson adheres to all required regulations regarding the activities of an Investment Advisor Representative, as well as all policies and procedures outlined in the firm's Code of Ethics and compliance manual. The phone number for Steven Joseph Dawson and Denise L Webre is (469) 795-9231.

### Item 7: Requirements for State Registered Advisors

This disclosure is required by state securities authorities and is provided for your use in evaluating this investment advisor representative's suitability.

- A. Steven Joseph Dawson has NOT been involved in any of the events listed below.
  - 1. An award or otherwise being found liable in an arbitration claim alleging damages in excess of \$2,500, involving any of the following:
    - a) an investment or an investment-related business or activity;

- b) fraud, false statement(s), or omissions;
  - c) theft, embezzlement, or other wrongful taking of property;
  - d) bribery, forgery, counterfeiting, or extortion; or
  - e) dishonest, unfair, or unethical practices.
2. An award or otherwise being found liable in a civil, self-regulatory organization, or administrative proceeding involving any of the following:
- a) an investment or an investment-related business or activity;
  - b) fraud, false statement(s), or omissions;
  - c) theft, embezzlement, or other wrongful taking of property;
  - d) bribery, forgery, counterfeiting, or extortion; or
  - e) dishonest, unfair, or unethical practices.
- B. Steven Joseph Dawson has NOT been the subject of a bankruptcy.